

Media release

Zurich, 7 July 2020

BX Swiss AG receives approval from FINMA as Swiss Client Advisor Register

The Swiss Financial Market Supervisory Authority FINMA has granted BX Swiss AG approval as Registration Office to maintain the Swiss register of client advisors in accordance with Article 31 of the Financial Services Act (FinSA). Approval will take effect on 20 July 2020, the date from which registered applicants will be able to submit applications for entry in the register of client advisors via the BX Swiss online platform.

With the approval of BX Swiss AG as the sole Registration Office to date, the six-month transition period pursuant to Art. 107 FinSO will begin to run: Accordingly, the application for entry in the register of advisors must be submitted by 19 January 2021 at the latest.

BX Swiss supports fully digital application processes based on the latest generation of technological platforms and RegTech solutions. The end-to-end digitisation of the application process allows BX Swiss to offer its registration services at a fee in the lower range of the legal bandwidth.

Further information on the client advisor register of BX Swiss can be found at: www.regservices.ch.

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Contact for queries:

Matthias Müller Managing Director Tel.: +41 31 329 40 44

matthias.mueller@bxswiss.com

About BX Swiss

BX Swiss AG operates an exchange focused on the needs of Swiss investors and issuers. It is subject to the Financial Market Infrastructure Act and is supervised by the Swiss Financial Market Supervisory Authority FINMA. The comprehensive range of over 3,100 shares, 680 ETFs, 2,500 wikifolio certificates, 6 ETPs on various crypto currencies and more than 20,000 structured products is available to Swiss investors from 09.00 to 17.30 CET.